Tax residency self-certification form

Investment Fund Class A or Investment Fund Class B (Individuals)

Guide to completing the form

This form is designed to collect the tax status of an individual where this information has not previously been provided or the individual has been identified as a potential taxpayer of a country other than Australia.

- Complete one form for each individual.
- Tax information must be collected from the individual.
- Please note: The individual may be treated as being a non-Australian taxpayer if the requested information is not provided.
- Please use a dark pen and CAPITAL letters, or type directly into this form on your pc, print and sign it and send it to us. Use (✗) to mark boxes.

If you have any questions, please call us on **1800 620 305**.

1. Personal details

Client ID

Date of birth D D M M Y Y Y Y

Surname

Full given name(s)

Residential Address (PO Box is NOT acceptable)

Street

Suburb

State

Postcode

Country

2. Tax information

Please answer **both** tax residency questions:

Is the individual a tax resident of Australia? ☐ Yes ☐ No

Is the individual a tax resident of another Country? ☐ Yes ☐ No

If the individual is a tax resident of a country other than Australia, please provide their tax identification number (TIN) or equivalent below. If they are a tax resident of more than one other country, please list all relevant countries below.

A TIN is the number assigned by each country for the purposes of administering tax laws. This is the equivalent of a Tax File Number in Australia or a Social Security Number in the US. If a TIN is not provided, please list one of the three reasons specified (A, B or C) for not providing a TIN.

1. Country TIN If no TIN, list reason A, B or C

2. Country TIN If no TIN, list reason A, B or C

3. Country TIN If no TIN, list reason A, B or C

If there are more countries, provide details on a separate sheet and tick this box. ☐

Reason A The country of tax residency does not issue TINs to tax residents

Reason B The individual has not been issued with a TIN

Reason C The country of tax residency does not require the TIN to be disclosed

Tax Residency rules differ by country. Whether an individual is tax resident of a particular country is often (but not always) based on the amount of time a person spends in a country, the location of a person's residence or place of work. For the US, tax residency can be as a result of citizenship or residency.

3. Declaration

IMPORTANT NOTE: Complete and sign the Declaration section.

By completing and signing this declaration I certify that the information I have provided is true and correct

INDIVIDUAL DECLARATION (The person named in this form)

Signature

Date signed D D M M Y Y Y Y

Privacy notification: Personal information collected on this form has been obtained for the primary purpose of complying with our obligations under AML/CTF laws. Our Privacy Policy can be found by typing **aware.com.au** into your web browser and clicking on the Privacy Policy link.

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