Investment Fund change of investments form

Aware Investment Fund – Class A and Class B use only

Complete the form using a BLACK PEN and print in clear CAPITAL LETTERS.

Mark answer boxes with a cross (✗) to mark boxes.

Refer to the notes section below for information on how to make changes to your investments electronically using our secure client portal.

If you have any questions please contact us on **1800 620 305**.

1. Investor details

Client code 1

Client code 2

Account number

Investor name(s)

Contact phone number *(include area code)*

Product – ***Please cross (✗) one box only***

☐ Investment Fund – Class A ☐ Investment Fund – Class B

2. Important – Switching instructions

1. **We strongly recommend that you discuss any switch with your financial planner, as this switch may significantly alter your taxation position.**
2. If you wish to switch a specific dollar amount, please complete the "Switch in Dollars" section. Please ensure that the total amount switched from equals the total amount switched **to**.
3. If you wish to re-allocate your investment across one or more Funds by percentages, please complete the "Portfolio Rebalance" section. Please ensure that the total of all percentages equals 100%.
4. **You can choose to either "Switch in Dollars" or to do a "Portfolio rebalance", but you cannot complete both sections at the same time.**
5. Please sign and date this form.
6. If you are switching your investment in the Aware Investment Fund Class A or B, cross this box to confirm you have read the latest information provided in the relevant product disclosure document and the relevant Target Market Determination (TMD) available at **retire.aware.com.au/PDS** ☐

3. Switch in dollars

Complete this section to switch dollar amounts from one Fund to another. The minimum switch amount is $500.

Please specify the amount you wish to switch in dollars

The "From" and "To" totals must match otherwise your switch request will be invalid.

Fund name	From	To
Cash Fund	$	$
Fixed Interest Fund	$	$
Capital Stable Fund	$	$
Moderate Fund	$	$
Balanced Fund	$	$
Growth Fund	$	$
Australian Equities Fund	$	$
International Equities Fund	$	$
Total	$ 0.00	$ 0.00

Complete this section to re-allocate your investment so that **after** the re-allocation it has the profile you require.

Do not complete this section if you have already completed Section 3.

4. Portfolio rebalance

Please specify how you want your investment to look **after** re-allocation

Cash Fund		%
Fixed Interest Fund		%
Capital Stable Fund		%
Moderate Fund		%
Balanced Fund		%
Growth Fund		%
Australian Equities Fund		%
International Equities Fund		%
Total (must add to 100%)	0	%

All investor(s) must sign and date this form.

5. Declarations and signature

- All information provided on this form is accurate and complete.
- I have read the current Product Disclosure Statement for the relevant product as well as any supplements or on-line updates and the relevant Target Market Determination (TMD) available at **retire.aware.com.au/PDS**.
- I agree to be bound by the trust deed for the Aware Investment Fund, as amended from time to time.
- I agree to Aware Financial Services managing and administering the relevant Fund account in accordance with the Product Disclosure Statement and the trust deed for the Aware Investment Fund (each as amended from time to time).
- I understand that if my transaction is received at Aware Super before 2.00pm Sydney time on any business day, it will be processed using the unit price applicable for that day. This price will be struck on business day 2 and applied to my transaction. If my transaction is received at Aware Super after 2.00pm Sydney time on any business day it will be processed using the unit prices applicable for the next business day. This price will be struck on business day 3 and applied to my transaction. It is important to consider this when making your transaction request.
- I understand that transactions on an investment fund can trigger a capital gains event and may have tax implications.

Agent's declaration *(if applicable)*

I agree and declare that:

- I am authorised by the Client to execute this transaction as agent for the Client.
- I understand and confirm that the Client understands the consequences of this transaction.
- I take joint and several responsibility for the consequences of this transaction, and will reimburse and make the responsible entity whole in respect of any successful claims against the responsible entity made by or in respect of the Client in relation to this transaction.

On completion of this form, please print and sign by hand.

Signature of Investor/Agent 1 (as applicable)

Date signed

D D M M Y Y Y Y

Signature of Investor/Agent 2 (as applicable)

Date signed

D D M M Y Y Y Y

Aware Financial Services Australia Limited has implemented a privacy policy which will ensure the confidentiality and security of your personal information. The Privacy Policy expresses our commitment to the management of your personal information. The policy is available at your request, or via our website **aware.com.au/privacy**

Save form | Print form | Clear form

Send the form to this address.

6. Where to send your competed form

Return your completed form to **Registry, Aware Super, PO Box 5336, Sydney NSW 2001**. If you have any questions please call us on **1800 620 305**.

7. Electronic submission of changes via secure client portal

Changes to your account can be submitted electronically only via the secure client portal. To register for the portal go to **retire.aware.com.au/registration**

If a transaction request is submitted electronically before 3.00pm Sydney time on any business day, it will be processed using the unit price applicable for that day. This price is not known until the next business day. It is important to consider this when making your transaction request.

If your transaction request is submitted electronically after 3.00pm Sydney time on a business day, or on a non-business day, we treat it as having been received before 3.00pm Sydney time on the next occurring business day and it will be processed using the unit price applicable for that next occurring business day.

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